



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Tomokazu KOMAZAKI, et al.

Appl. No.: 09/785,501

Confirmation No. 9075

Filed: February 20, 2001

For: BRANCHING FILTER

Art Unit: 2817

Examiner: Barbara SUMMONS

Atty. Docket No.: 32011-169878

Customer No.

26694

PATENT TRADEMARK OFFICE

Request for Acknowledgement of Previously Filed Information Disclosure Statement

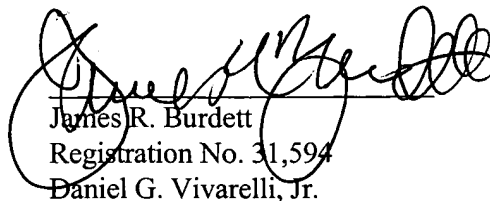
Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Sir:

Attached is a copy of our date-stamped filing receipt and copies of the Information Disclosure Statement and form PTO-1449 as filed, evidencing that the Information Disclosure Statement was filed on March 17, 2004. Please acknowledge receipt of the documents and send a corrected Notice of Allowability indicating that the Information Disclosure Statement has been received.

Date: _____

Respectfully submitted,



James R. Burdett

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Daniel G. Vivarelli, Jr.

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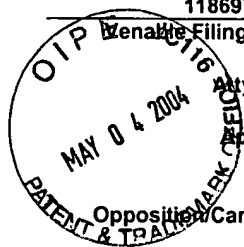
05/06/2004 MBERHE 00000016-220261-09785501

01-FC:1806 180.00 DA

Void date: 05/06/2004 MBERHE 00000016-220261-09785501

01-FC:1806 180.00 CR

#544048



118697

Venable Filing Number

Attorney/LAA: JRB/DGV/acr

PTO Due Date: N/A

Date Filed: March 17, 2004

TM Official Gazette Date:

Atty. Docket No: 32011-169878

Re: BRANCHING FILTER

Application No: 09/785,501

Patent No.:

Trademark:

Cancellation No:

Filing Date: February 20, 2001

Issue Date:

Trademark Reg. No:

The following items were received from Venable, Washington, D.C., by the U.S. Patent & Trademark Office:

U.S. PTO FEES ENCLOSED

- ☐ Transmittal Letter
☒ Fee Transmittal Letter
☐ New U.S. Provisional Application (pages of specification/claims)
☐ Formal Drawings (sheets, Figs.)
☐ Invention Declaration
☐ U.S. National Stage Application of PCT Application
☐ Translation of International Application
☐ New U.S. TM Application (specimens)
☐ Rule 53(d) Continued Prosecution Application
☐ Substitute Specification
☐ Rule 53(b) Continuation or Divisional Application (*attach copy of specification, claims, drawings & declaration*)
☐ Priority Document-Cert. Copy of Appln.#: ; Country
☐ Date Filed:
☐ Request for Continued Examination (RCE) under 37 CFR 1.114
☐ Request for Reconsideration
☐ Application Data Sheet
☐ Assignment w/Cover Sheet
☒ IDS w/ PTO SB/08 a/k/a PTO Form-1449 (5 references)
☐ Response
☐ ☐ Amendment/☐ Preliminary Amendment
☐ Petition/Request for Extension of Time (one mo. ext.)
☐ Notice of Appeal
☐ Appeal Brief (*in triplicate*)
☐ ☐ Reply Brief (*in triplicate*)/ ☐ Request for Oral Hearing
☐ Confirmation of Hearing Petition
☐ Issue Fee Transmittal
☐ Certificate of Correction
☐ Maintenance Fee Transmittal
☐ Power of Attorney
☐ Response to Notice to File Missing Parts
☐ Response to Notice to File Missing Requirements
☐ Response to Restriction Requirement
☐ Petition to Revive
☐ Sequence Listing - CDR Enclosed? ☐ Yes ☐ No
☐ Status Inquiry
☐ Request for Non-Publication
☐ Request to Rescind Non-Publication Request
☐ Terminal Disclaimer
☐ TM Statement of Use
☐ Extension of Time (mo. ext.)
☐ Declaration Under 8
☐ Declaration Under 8 and 15
☐ TM Renewal Application
☐ Notice of Opposition (*Please list all documents in Other section*)
☐ Amendment to Alleged Use
☐ Other: (*Please describe below*)

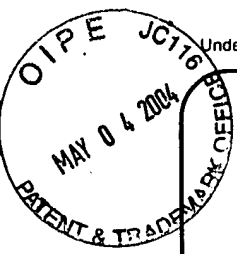
- ____ Filing Fee
____ Surcharge Fee
____ Additional Claim Fee
____ Recordation/Indexing Fee
____ IDS Fee
____ Extension Fee
____ Notice of Appeal Fee
____ Brief on Appeal Fee
____ Oral Hearing Request Fee
____ Petition Fee
____ Issue Fee
____ Publication Fee
____ Maintenance Fee
____ TM Statement of Use
____ 8 Affidavit Fee
____ 8 and 15 Affidavit
____ TM Renewal Application Fee
____ Notice of Opposition Fee
____ TM Extension of Time Fee



180.00 Total Fees Paid

Check Number 62330 Attached
Charge Deposit Account No. 22-0261*
☐ Yes ☒ No

*If the Deposit Account was used, was a copy of this form sent to Accounting?



Under the Paperwork Reduction Act of 1995,

persons are required to respond to a collection of information

Approved for use through 10/31/2002. OMB 0651-0032
Office: U.S. DEPARTMENT OF COMMERCE
as it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 09/785,501 |
| Filing Date | February 20, 2001 |
| First Named Inventor | Tomokazu KOMAZAKI |
| Examiner Name | Barbara Summons |
| Group / Art Unit | 2817 |
| Attorney Docket No. | 32011-169878 |

TOTAL AMOUNT OF PAYMENT (\$ 180.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

22-0261

Deposit
Account
Name

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$ 0.00

2. EXTRA CLAIM FEES

| | | | | | | | |
|-----------------------|--|------|-----------------|---|-------------------|---|-------------|
| Total Claims | | ** = | Extra Claims | X | Fee from below | = | Fee Paid |
| Independent Claims | | ** = | 0 | X | | = | 0 |
| Multiple Dependent | | | | X | | = | 0 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2204 | 145 | Multiple dependent claim, if not paid ** Reissue independent claims over original patent |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|-------------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2215 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2010 | 2255 | 1005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 25403 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| 1808 | 180 | 1808 | 180 | Submission of Information Disclosure Stmnt | 180.00 |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 180.00

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|------------------|---------------------------------|----------------|-----------|----------------|
| Name (Print/Type) | James R. Burdett | Registration No. Attorney/Agent | 31,594 | Telephone | (202) 344-4000 |
| Signature | | Date | March 17, 2004 | | |

WARNING: Information on this form may become public. Credit card information should not be
included on this form. Provide credit card information and authorization on PTO-2038.
SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.

VENABLE
ATTORNEYS AT LAW

PC Docs No. 531846v1



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Art Unit: 2817

Examiner: Barbara Summons

Atty. Docket No.: 32011-169878

Customer No.:

26694

PATENT TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(c)(2).

In order to comply with applicant's duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application. One copy of each of the listed documents is submitted herewith.

The instant Information Disclosure Statement is being filed after the mailing date of a first Office action on the merits, but before receipt of an action that closes prosecution in the application. Accordingly, pursuant to 37 C.F.R. § 1.97(c)(2), a fee of \$180.00 is attached.

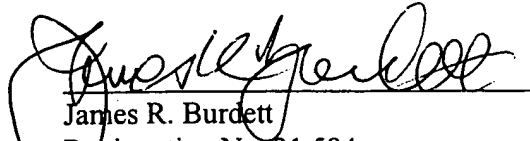
In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

If no check is attached, please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 22-0261.

Respectfully submitted,

Date:

3/17/2004


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